



IIZ.003D3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of :  
Takashi Ohsumi : Attn: Applications Branch  
Divisional of Serial No.: 09/920,713 : Attorney Docket No. IIZ.003D3  
Filed: March 17, 2003 :  
For: SEMICONDUCTOR APPARATUS AND METHOD FOR FABRICATING  
THE SAME

**PRELIMINARY AMENDMENT**

Honorable Assistant Commissioner  
of Patents and Trademarks,  
Washington, D.C. 20231

Date: March 17, 2003

Sir:

Preliminary to the examination of the above-identified application, please enter the following amendments and remarks.

**In the Abstract:**

Cancel the abstract, and replace the canceled abstract with the enclosed new abstract.

**In The Specification:**

Kindly amend the specification as follows:

Page 1, between the title and the heading "**BACKGROUND OF THE INVENTION**", insert

**REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER**

ATTY DOCKET #: IIZ.003D3

DUE DATE:

APPLICANT: Takashi Ohsumi

SERIAL NO.: (NEW)

FILING DATE: March 17, 2003

TITLE: SEMICONDUCTOR APPARATUS AND METHOD FOR  
FABRICATING THE SAME

**RECEIPT OF THE FOLLOWING PAPERS IS ACKNOWLEDGED:**

New U.S. Divisional Application including: Utility Patent Application Transmittal; Preliminary Amendment; specification, claims and abstract (32 pages); Copy of Declaration and Power of Attorney (3 pages); 16 sheets of drawings for Figures 1A-16; Information Disclosure Statement including PTO-1449; and \$750 filing fee.

DATE: March 17, 2003

ATTY: AJT

[Check No. 10486]

